

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/774,397	01/31/2001	Theresa A. Hadlock	00786-446001

JANIS K. FRASER  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

CONFIRMATION NO. 3080

## FORMALITIES LETTER



\*OC000000005850689\*

Date Mailed: 03/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

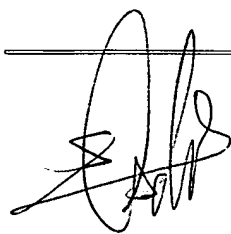
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$378.
  - \$243 for 27 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 798.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

06/11/2001 00000051 09774397 355.00 00  
02 00 201 243.00 00  
03 00 203 135.00 00  
04 00 205 65.00 00

A handwritten signature in black ink, consisting of a large loop followed by several strokes, positioned to the left of a horizontal line.

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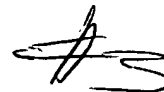
*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Theresa A. Hadlock et al.

Art Unit : 3731

Serial No. : 09/774,397

Examiner : Unknown

Filed : January 31, 2001

Title : NEURAL REGENERATION CONDUIT

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed March 12, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Check for \$798 in payment of basic filing fee of \$355, additional/multiple dependent claims fees of \$378, and \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; and
- ☒ Transmittal of Formal Drawings, 1 pg.; 3 Sheets of formal drawings.

It is understood that this perfects the application and no additional papers or filing fees are required.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

JUNE 5, 2001  
Date of Deposit

KATHLEEN PHILPOT  
Signature

KATHLEEN PHILPOT  
Typed or Printed Name of Person Signing Certificate

Applicant : Theresa A. Hadneck et al.  
Serial No. : 09/774,397  
Filed : January 31, 2001  
Page : 2

Attorney's Docket No.: 00786-446001

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

June 5, 2001

Janis K. Fraser, Ph.D., J.D.  
Reg. No. 34,819

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Theresa A. Hadlock et al.      Art Unit : 3731  
Serial No. : 09/774,397      Examiner : Unknown  
Filed : January 31, 2001  
Title : NEURAL REGENERATION CONDUIT

**Box Missing Part**

Commissioner for Patents  
Washington, D.C. 20231

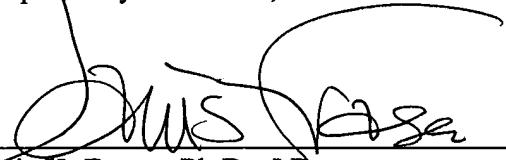
TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Part mailed March 12, 2001, please substitute the enclosed 3 sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: June 5, 2001

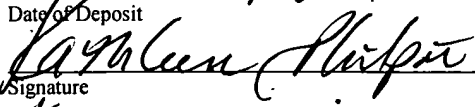
  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

JUNE 5, 2001  
Date of Deposit  
  
Signature  
KATHLEEN PHILPOTT  
Typed or Printed Name of Person Signing Certificate